Annex 4

Summary Assurance Map - March 2023

Low Assurance
Medium Assurance
High Assurance
No Assurance Information

	Audits	Inherent Risk	Level 1 - Management Assurance: Senior Management Assurance Statements									Level 1 - Management Assurance: Risk Registers										Level 2 - Independent Assurance: Existing Internal Audit Assurance									Level 2 - Independent Assurance: Wider Assurance									Audit Need
			Adults, Housing & Communities	Childrens' Services	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Planning, Transport & Environment	Resources	SMAS Summary	Corporate Risk Register	Adults, Housing & Communities	Childrens' Services	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Planning, Transport & Environment	Resources	Directorate Summary	Adults, Housing & Communities	Childrens' Services	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Planning, Transport & Environment	Resources	Directorate Summary	Adults, Housing & Communities	Childrens' Services	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Planning, Transport & Environment	Resources	Directorate Summary	Audit Needs Assessment
Fundamental Systems	Fundamental - Core System																																						
	Asset Management	R	G	G	G	A	G	G	A	A	G	A	A		R	R	A		A	A	A	R	R	R	A	A		R	R	R										R
	Council Tax	R																											G	G										A
	Purchasing and Payment Systems	R																		A	A								A	A										R
	Housing Rents	R										A	A														A			A										R
	Income and Debtors	R											A		A		A	A		A	A	A	A	A	A	A	A	A	A	A										R
	Housing Benefits / LHA / CTRS	R										A	A								A						G			G										A
	Main Accounting	R																		A	A								A	A								A	A	R
	NNDR	R																											A	A										R
	Payroll	R																		A	A								A	A										R
	Pensions and Investments	R																		A	A								A	A								A	A	A
	Treasury Management	R								G	G									A	A								A	A								G	G	R
	Purchasing Cards	A		A							A																			A										R
Governance Functions	Corporate Governance - Assurance																																						
	Commissioning and Procurement	R				R					R				A		A	A		A	A	A	R	A	A	G	A	A	A	A										R
	Stores	R											A								A	A		A				A	A	A										R
	IT Governance / Security	R								A	A	R			A	R			A	A	A								A	A								A	A	R
	Mileage and Subsistence	A																												R										R
	Agency Managed Service	A												A	A						A	A								A										A
	Ethics and Values	R													A	A	A		A	A	A	A	A	A	A	A	A	A	A	A										A
	Information Governance	R								A	A	A				A				A	A								A	A								A	A	A
	Performance Management	R	A	A	G	R	A	G	A	A	A	A		R	A	A				A	A	A	A	A	A	A	A	A	A	A									A	R
	Risk Management Arrangements	R	A	A	G	R	G	A	A	A	A											A	A	A	A	A	A	A	A	A										R
	Delegation and Decision Making	R	A	A	A	A	A	A	A	A	A											A	A	A		A		A	A	A										R
	Programmes and Projects	R	R	A	G	A	G	G	G	A	A		A		A				A	A	A								A	A										R
	Value for Money	R																				A	A	A	A	A	A	A	A	A										R
	Scrutiny / Oversight Functions	A																								A				A					A				A	A
	Health and Safety	R			A						A	A	R		A	A			A		A		A	R	A	A	A	A	A	A	A							A	A	A
	Future Generations	R	A	A	G	A	G	G	A	A	A									A										A			A				A		A	R
	Safeguarding	R										A	A	A		R		A			A	A	A	A	A	A	A	A	A	A	A	A							A	A
	Governance	R	A	A	G	A	G	G	A	A	A	A				A	A	A	A	A	A	A	A	A	A	A	A	A	A	A										R
	Insurance	R												R						A	A								A	A										R
	Partnerships and Collaborative Governance	R	A	A	G	A	G	G	A	A	A				A			A	A		A	A	A	A	A	A	A	A	A	A										A
	Business Continuity & Emergency Management	R				A					A	A			A				A	A	A								A	A										R
	Contract Variations	R																												R										A
	IT Progammes / Projects	R								A	A	R			A	R			A	A	A								A	A										A
	Financial Resilience	R										R								A	A								A	A								A	A	A
	Payment Card Security - Data Security Standards (PCI -DSS)	R																		A	A			R				A	A	A									A	A
	Contract Audit	R				A					A				A					A	A	A	R	R	R	G		R	A	A										R
	Taxation	R																		A	A									A										R
	National Fraud Initiative / Fraud	R	R	G	G	R	G	G	G	G	A	A				A				A	A			A						A										R
	Investigation Processes	R	R	G	G	R	G	G	G	G	A	A								A	A								G	G					A			A	A	A
	Grant Administration	R											A								A	A		A					A	A										A
	Complaints and Compliments	A																												A										A
	Electoral Services	R															A				A									A										R
	Arms Length Company Assurance	R																											A	A										A
	Welsh Language Standards	R											A			A		R	A	A	A						A			A										A
	SOP	R			G	A					A	A												A	A					A										R